Applicant: LENDLEIN et al. Serial No.: To be assigned

Filing Date: Filed herewith Preliminary Amendment

October 8, 2004 Page 2 of 13 10/510889

DT04 Rec'd PCT/PTO 0 8 OCT 2004

Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

1. (currently amended) A Method method for the treatment of hair, comprising

[[-]]applying a composition onto hair, wherein the composition comprises at least one first active principle or first active complex, selected among or formed from compounds, which are, alone or in combination with further compounds, capable, after application to hair and after the carrying out of the treatment of hair described in the following, to provide a shape memory effect, and wherein the composition comprises at least one second active principle, selected among cationic agents;

[[-]]previously, at the same time or subsequent to the application of the composition, bringing the hair into a desired shape (permanent memory shape) and

[[-]]fixing the memory shape subsequently by inducing a chemical or physical change of the applied agents;

wherein, after a desired or undesired deformation of the memory shape, the initial memory shape can be recalled by means of a physical stimulation.

2. (original) A method according to claim 1, characterized in that the composition comprises at least two active principles, which alone do not show or only show weak shape memory properties and which, after the combined application to hair in accordance with the method according to claim 1, provide hair with a synergistically increased shape memory effect.

Applicant: LENDLEIN et al. Serial No.: To be assigned

Filing Date: Filed herewith Preliminary Amendment

October 8, 2004 Page 3 of 13

3. (currently amended) A Method method according to claim 1, wherein

[[-]]the first active principle is a crosslinkable macromer, which forms after crosslinking a shape memory polymer, wherein the macromer comprises

- a) crosslinkable segments, which are crosslinkable by means of a chemical reaction[[,]]; and
- b) thermoplastic segments, which are not chemically crosslinkable[[,]]; [[-]]wherein the memory shape is fixed by means of the chemical crosslinking of the macromer and the therewith associated forming of the shape memory polymer, and wherein the shape memory polymer possesses at least one transition temperature T_{trans}.
- 4. (currently amended) A Method method for hair treatment, wherein

[[-]]a programmed hairdo (permanent shape) obtained in accordance with the method of claim 3, is warmed to a temperature above T_{trans} ,

[[-]]wherein the hair is then brought into a second (temporary) shape and wherein the second shape is fixed by means of cooling to a temperature below T_{trans} .

5. (currently amended) A Method method according to claim 3[[or 4]], characterized in that the crosslinkable macromer is selected among compounds of the general formula

Serial No.: To be assigned

Filing Date: Filed herewith Preliminary Amendment

October 8, 2004

Page 4 of 13

wherein A1 and A2 designate reactive, chemically crosslinkable groups and wherein -

 $(X)_n$ - designates a divalent, thermoplastic polymer or oligomer segment.

6. (currently amended) A Method method according to claim 5, characterized in that the

crosslinkable macromer is selected among polyesters, oligoesters, polyalkylene glycols,

oligoalkylene glycols, polyalkylene carbonates and oligoalkylene carbonates substituted

with at least two acrylate groups or methacylate groups.

7. (currently amended) A Method method according to claim 6, characterized in that the

crosslinkable macromer is selected among poly(ε-caprolactone)-dimethacrylate,

poly(DL-lactide)-methacrylate, poly(L-lactide-co-glycolide)-dimethacrylate,

poly(ethyleneglycol) dimethacrylate, poly(propylenglycol) dimethacrylate, PEG-block-

PPG-block-PEG-dimethacrylate, poly(ethylenadipate)-dimethacrylate,

hexamethylencarbonate-dimethacrylate.

8. (currently amended) A Method method in accordance with any of the preceding

claims claim 1, characterized in that the composition comprises additionally one

macromer having only one terminal or side chain chemically reactive group.

9. (currently amended) A Method method according to claim 1, wherein

[[-]]the first active principle is a shape memory polymer, which comprises

Serial No.: To be assigned Filing Date: Filed herewith

Preliminary Amendment

October 8, 2004 Page 5 of 13

a) at least one hard segment which can be crosslinked by means of

physical interactions, having a first transition temperature T'_{trans}, which

lies above room temperature, and

b) at least one soft segment having a second transition temperature T_{trans}

which lies below T'trans, and

[[-]]wherein the memory shape is fixed by means of a physical crosslinking of

the shape memory polymers.

10. (currently amended) A Method accordance method according to claim 9, wherein

the shaping of the hairs occurs under warming to a temperature of at least T'_{trans} and

wherein the subsequent fixation of the hairdo occurs by means of cooling to a

temperature below T'trans.

11. (currently amended) A Method method for hair treatment, wherein

[[-]]a programmed hairdo (permanent shape) obtained in accordance with a

method according to claim[[s]] 9[[or 10]], is warmed to a temperature between T'_{trans} and

T_{trans};

[[-]] wherein the hair is then brought into a second (temporary) shape and

[[-]] wherein the second shape is fixed by means of cooling to a temperature

below T_{trans}.

Serial No.: To be assigned Filing Date: Filed herewith

Preliminary Amendment

October 8, 2004 Page 6 of 13

12. (currently amended) A Method method for reprogramming of a hairdo (permanent shape) obtained in accordance with the method according to claim 9 into a new permanent shape, wherein

[[-]]the is hairdo is warmed to a temperature above T'_{trans},

[[-]]followed by bringing the hair into a new shape, and

[[-]] followed by fixing the new shape by means of cooling to a temperature below T'_{trans}.

13. (currently amended) A Method method in accordance with any of claims 9 to 12 claim 9, characterized in that the shape memory polymer possesses a degree of crystallinity of from 3 to 80% and wherein the ratio of the moduli of elasticity below and above T_{trans} is at least 20.

- 14. (currently amended) A Method method according to any of claims 9 to 13 claim 9, characterized in that the shape memory polymer is a copolyester urethane.
- 15. (currently amended) A Method method according to claim 14, characterized in that the shape memory polymer is the reaction product of (a) two different macrodiols, selected among α,ω -dihydroxypolyesters, α,ω -dihydroxypoligoesters, α,ω -dihydroxypolylactones and α,ω -dihydroxyoligolactones, and (b) at least one diisocyanate.

Serial No.: To be assigned

Filing Date: Filed herewith

Preliminary Amendment

October 8, 2004

Page 7 of 13

16. (currently amended) A Method method for the recovery of a programmed hairdo

(permanent shape) obtained by one of the method[[s]] according to claim[[s]] 1, 3, 9 or

12, wherein the hairdo in a temporary shape according to claim 4 or claim 11 or in the

shape of a hairdo obtained by cold forming, is warmed to a temperature above T_{trans}.

17. (currently amended) A Method method according to any of the preceding claims

claim 1, characterized in that the cationic, second active principle is selected among

surfactants having cationic groups or groups which can be rendered cationic, polymers

having cationic groups or groups which may be rendered cationic, silicone compounds

having cationic groups or groups which can be rendered cationic, cationic protein

derivatives, cationic protein hydrolysates and betain.

18. (currently amended) A Method method according to claim 17, characterized in that

the cationic surfactant is selected among compounds of the general formula

 $N^{(+)} R^1 R^2 R^3 R^4 X^{(-)}$

wherein R¹ to R⁴, independently, designate aliphatic groups, aromatic groups, alkoxy

groups, polyoxy alkylene groups, alkylamido groups, hydroxyalkyl groups, alkaryl

groups or aryl groups having 1 to 22 carbons atoms, wherein the alkyl groups may be

linear, branched or cyclic and wherein at least one of the groups possesses at least 8

carbon atoms and wherein X represents an anion.

Serial No.: To be assigned

Filing Date: Filed herewith

Preliminary Amendment October 8, 2004

Page 8 of 13

19. (currently amended) A Method method according to claim 17, characterized in that

the cationic polymer is selected among methylvinylimidazoliumchloride/vinlypyrrolidon-

copolymers, quarternized vinylpyrrolidon/dimethylaminoethylmethacrylate-copolymers,

dimethyldiallylammoniumchloride/sodiumacrylate/acrylamide-copolymers,

vinylpyrrolidon/dimethylaminoethylmethacrylate/vinylcaprolactam-copolymers,

vinylpyrrolidon/methacrylamidopropyltrimethylammoniumchloride-copolymers, cationic

polysaccharide derivatives, chitosan, chitosan salts, chitosan derivatives.

20. (currently amended) A Method method in accordance with any of the preceding

claims claim 1, characterized in that the composition comprises the first active principle

in an amount from 0.01 to 25 wt% and the second active principle in an amount of from

0.01 to 25 wt%.

21. (currently amended) A Hair hair cosmetic composition, comprising in a suitable

cosmetic medium an active complex, wherein the active complex comprises at least one

first active principle, selected among compounds which are, alone or in combination with

further compounds, capable to, after application to hair and after carrying out a method

according to any of claims 1 to 20 claim 1, to provide the hair with a shape memory

effect and wherein the active complex comprises at least one second active principle,

selected among cationic agents.

Serial No.: To be assigned Filing Date: Filed herewith

Preliminary Amendment

October 8, 2004 Page 9 of 13

22. (currently amended) A Composition composition according to claim 21,

characterized in that the first active principle is either

a macromer which can be crosslinked to a shape memory polymer, wherein the

crosslinked shape memory polymer possesses at least one transition temperature T_{trans},

and wherein the macromer comprises

a) crosslinkable segments, which are crosslinkable by means of chemical

bonds, and

b) thermoplastic segments, which are not chemically crosslinkable;

and/or a shape memory polymer, which comprises

[[a)]] A) at least one hard segment which may be crosslinked by means of

physical interactions, having a first transition temperature T'_{trans}, which lies

above room temperature, and

[[b)]] B) at least one soft segment having a second transition temperature T_{trans},

which lies below T'_{trans};

and wherein the second active principle is selected among surfactants having cationic

groups or groups which may be rendered cationic, polymers having cationic groups or

groups which may be rendered cationic, silicone compounds having cationic groups or

groups which may be rendered cationic, cationic protein derivatives, cationic

proteinhydrolysate derivatives and betain.

Applicant: LENDLEIN et al. Serial No.: To be assigned Filing Date: Filed herewith Preliminary Amendment

October 8, 2004 Page 10 of 13

23. (currently amended) A Composition composition according to claim 22,

characterized in that the cationic surfactant is selected among compounds of the general

formula

 $N^{(+)} R^1 R^2 R^3 R^4 X^{(-)}$

wherein R¹ to R⁴, independently, designate aliphatic groups, aromatic groups, alkoxy

groups, polyoxy alkylene groups, alkylamido groups, hydroxyalkyl groups, alkaryl

groups or aryl groups having 1 to 22 carbons atoms, wherein the alkyl groups may be

linear, branched or cyclic and wherein at least one of the groups possesses at least 8

carbon atoms and wherein X represents an anion.

24. (currently amended) A Composition composition according to claim 22,

characterized in that the cationic polymer is selected among

methylvinylimidazoliumchloride/vinlypyrrolidon-copolymers, quarternized

vinylpyrrolidon/dimethylaminoethylmethacrylate-copolymers,

dimethyldiallylammoniumchloride/sodiumacrylate/acrylamide-copolymers,

vinylpyrrolidon/dimethylaminoethylmethacrylate/vinylcaprolactam-copolymers,

vinylpyrrolidon/methacrylamidopropyltrimethylammoniumchloride-copolymers, cationic

polysaccharide derivatives, chitosan, chitosan salts, chitosan derivatives.

Serial No.: To be assigned

Filing Date: Filed herewith Preliminary Amendment

October 8, 2004

Page 11 of 13

25. (currently amended) A Composition composition according to [[any of claims 21 to

24]] claim 22, characterized in that the shape memory polymer is contained in an amount

of from 0.01 to 25 wt% and wherein the cationic agent is contained in an amount of from

0.01 to 25 wt%.

26. (currently amended) A Composition composition according to any of claims 21 to

25 claim 21, characterized in that at least two active principles are contained, which show

alone no or only weak shape memory properties but which, after combined application

onto hair according to claim 1, provide a synergistically increased shape memory effect.

27. (currently amended) A Cosmetic preparation, comprising a composition

according to any of claims 21 to 26 claim 21, characterized in that the preparation is

present in the form of a lotion, a spray lotion, a cream, a gel, a gelfoam and aerolsprays, a

non-aerosolspray, and aerosolfoam, a non-aerosolfoam, a O/W- or W/O emulsion, a

micro emulsion or a hair wax.

28. (currently amended) A Preparation preparation according to claim 27, characterized

in that additionally 0.01 to 25 wt% of at least one active principle are contained, selected

among hair conditioning agents, hair fixative agents and hair coloring agents.

Please add the following new claim:

Applicant: LENDLEIN et al. Serial No.: To be assigned Filing Date: Filed herewith Preliminary Amendment October 8, 2004 Page 12 of 13

29. (new) A method for the recovery of a programmed hairdo (permanent shape) obtained by the method according to claim 1, wherein the hairdo in a temporary shape according to claim 11 or in the shape of a hairdo obtained by cold forming, is warmed to a temperature above T_{trans} .